

# CURRICULUM VITAE

## C1. PERSONAL INFORMATION

*Name:* Khamis Hamed Al-Yahyae

*Date and place of birth:* 16<sup>th</sup> January 1977, Oman

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Department of Economics and Finance  
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*Area of specialization:* Major: Finance  
Minor: Corporate Finance

## C2. EDUCATION AND EMPLOYMENT INFORMATION

*Education:* Ph.D. in Banking & Finance, University of New South Wales, Australia (2007)

Master of Science in Finance, University of Denver, U.S.A (2000)

Bachelor of Science in Finance, Sultan Qaboos University, Oman (1998)

*Employment:* Assistant professor, Sultan Qaboos University, Oman (2007–present)

Full-time lecturer, Sultan Qaboos University, Oman (September 2000–February 2004)

Full-time demonstrator (teaching assistant), Sultan Qaboos University, Oman (August 1998–August 1999)

Resource and Development Advisor, Petroleum Development Oman, Oman (June–July 1998)

### **C3. AWARDS AND RECOGNITIONS**

- **Certificate of Appreciation, Muscat Securities Market (November 2010).**  
In appreciation of outstanding performance in chairing the Committee that evaluates research papers for the Research Competition of 2010 organized by Muscat Securities Market.
- **Certificate of Appreciation, Muscat Securities Market (November 2009).**  
In appreciation of outstanding performance in chairing the Committee that evaluates research Competition of 2009 organized by Muscat Securities Market.
- **Certificate of Appreciation, Muscat Securities Market (November 2008).**  
In appreciation of outstanding performance in chairing the Committee that evaluates research Competition of 2008 organized by Muscat Securities Market.
- **Best Paper Award, 17<sup>th</sup> Asian FA/FMA Conference (2006).**  
For the best paper presented at 17<sup>th</sup> Asian FA/FMA Conference in Auckland, New Zealand. Invited for publication in the International Review of Finance Journal.
- **Outstanding Academic Performance Certificate, Minister of Higher Education (2000).**  
For overall excellence in the Master degree at the University of Denver.
- **Certificate of Honor, University of Denver (2000).**  
For the participation in the Outdoor Leadership Experience.
- **Outstanding Academic Performance Certificate,**

**Embassy of the Sultanate of Oman in Washington D.C. (2000).**

For overall excellence in the Master degree at the University of Denver.

- **Letter of Appreciation, Council of Higher Education (2000).**

For preparing a proposal to provide loans for needy families to continue their higher education.

- **Outstanding Academic Performance Certificate, SQU Vice-Chancellor (2000).**

For overall excellence in the Master degree at the University of Denver.

- **Outstanding Student Award, Sultan Qaboos University (1998).**

For overall academic excellence at undergraduate level at the Sultan Qaboos University.

- **Outstanding Student Award, Sultan Qaboos University (1996).**

For overall academic excellence at undergraduate level at the Sultan Qaboos University.

- **Outstanding Student Award, Sultan Qaboos University (1995).**

For overall academic excellence at undergraduate level at the Sultan Qaboos University.

*Merit scholarships:*

- Scholarship from the Government of Oman to study for the Bachelor's degree at the Sultan Qaboos University based on academic achievement in high school (1994).

- Scholarship from the Sultan Qaboos University to study for a Master's degree in the USA, based on performance at undergraduate level (1998).

- Scholarship from the Sultan Qaboos University to study for a PhD in Australia based on performance at Master's level (2004).

- University of Melbourne International Research

Scholarship to study for PhD in Australia based on the outstanding performance at Master's level (2004).

- University of Melbourne International Fee Remission Scholarship to study for PhD in Australia based on the outstanding performance at Master's level (2004).

#### **C4. TEACHING ACTIVITIES**

##### *Curriculum development:*

I have developed a special interest in curriculum development to ensure that the College's curriculum is academically sound, comprehensive and responsive to the evolving needs of the Omani community. In this regard, my selected contributions are as follows:

- Evaluated the new program proposal for the Master of Science in Islamic Banking and Finance which is proposed by the Modern College of Business and Science, January 2013.
- Introduced and designed a new course in Finance called Financial Analysis and Valuation.
- Participated in preparing and designing the Accounting and Finance curriculum for Sharqiyah University.
- Revised and updated many courses in the finance major including Intermediate Finance and Capital Structure and Budgeting.
- Revised the Managerial Finance course in the MBA.
- Contributed to the development of the new degree program in the College of Economics and Political Science in which the categories of 'minor' and 'major' were introduced for the first time.
- Examined the Bachelor degree in Business curriculum for Waljat College of Applied Sciences.
- Examined the MBA program curriculum for Waljat College of Applied Sciences.
- Currently serving as a member of the College Curriculum Committee.

*Academic advising:*

- I have acted as an academic advisor since 2000, when I was appointed as a lecturer at the Sultan Qaboos University. I have an advising list of about 40 students per semester from various majors. I meet my advisees frequently to guide them towards meeting essential learning outcomes and to ensure success in achieving their personal, academic and career goals.

**C5. SERVICE**

*University administration and committees:*

- Member of Bank Muscat Employee Service Offers Committee that was assigned by SQU Vice-Chancellor to examine the service proposal offered by Bank Muscat to SQU employees (2011-present).
- Member of the College Curriculum Committee (2009–present).
- Member of Postgraduate Committee (2007-2010).
- Member of the Student/Staff Liaison Committee (2008-present).

*Community service:*

- Member of the State Audit Institution Committee that is entrusted by the SQU Vice-Chancellor to prepare exams and conduct interviews (2011-present) for university graduates.
- Supervisor of the Muscat Securities Market Research Competition (2007-present).
- Present a lecture on Financial Policy Issues in the Oman Country Profile Seminar, August, 2007.
- Founding Member of the Oman Economic Association (2001).
- Prepared an assessment exam for the Sultan Qaboos University Hospital to evaluate potential applicants for accountant positions.

- Supervisor of the Economics and Finance Group (2007-2009).
- Prepared an exam for the Capital Market Authority to evaluate potential applicants for finance positions.
- Supervisor of the Investment Club (2007-2009).
- Author on financial sector in the Oman Encyclopedia (2010).
- Recruiter for the Target Consultancy Center (2009).
- Member of the Financial Management Association (2008).
- Member of the Eastern Finance Association (2010-2011).
- Member of the Australia Pacific Finance Association (2008-2010).

*Professional and technical training:*

- Attended a seminar of "Transparency and Disclosure Toward Efficient Financial Markets" organized by the Capital Market Authority, on 23<sup>rd</sup> January, 2013, Muscat, Sultanate of Oman.
- Attended a workshop on an "Introduction to Energy and Commodity Risk Management" on 14<sup>th</sup> December, 2009, Sydney, Australia.
- Attended a workshop on "Advanced Foreign Exchange Options" on 15<sup>th</sup> December, 2009, Sydney, Australia.

*Referee/reviewer:*

- Reviewer for the *Journal of Banking and Finance*.
- Reviewer for the *Pacific-Basin Finance Journal*.

## **C6. SCHOLASTIC ACHIEVEMENTS**

*Publications in refereed journals:*

- **Al-Yahyaee, K.** (2013). The Effect of a Reduction in Price Discreteness on Ex-Day Stock Returns in a Unique Environment, *Journal of International Financial Markets, Institutions and Money* 23, pp. 283-194.

- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2012). Capital Structure and Stock Returns: Evidence from an Emerging Market with Unique Financing Arrangements, *Applied Financial Economics*, forthcoming.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2011). Dividend Smoothing When Firms Distribute Most of Their Earnings as Dividends, *Applied Financial Economics* 21 (16), pp. 1175–1183.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2011). The information Content of Cash Dividend Announcements in a Unique Environment, *Journal of Banking and Finance* 35 (3), pp. 606-612.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2010). Dividend Stability in a Unique Environment, *Managerial Finance* 36 (10), pp. 903-916.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2008). Ex-Dividend Day Behaviour in the Absence of Taxes and Price Discreteness, *International Review of Finance* 8 (3-4), pp. 103-123.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2008). Dividend Policy in the Absence of Taxes, *Journal of International Finance and Economics* 8 (1), pp. 111-125.
- **Al-Yahyaee, K.,** Rao, N. and Syed, L. (2007). Capital Structure and Firm Performance: The Case of Oman, *Indian Journal of Economics and Business* 6 (1), pp. 1-4.

*Publications in journals listed in The Social Sciences Citation Index:*

- **Al-Yahyaee, K.** (2013). The Effect of a Reduction in Price Discreteness on Ex-Day Stock Returns in a Unique Environment, *Journal of International Financial Markets, Institutions and Money* 23, pp. 283-194.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2011). The information Content of Cash Dividend Announcements in a Unique Environment, *Journal of Banking and Finance* 35 (3), pp. 606-612.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2010). Dividend Stability in a Unique Environment, *Managerial Finance* 36 (10), pp. 903-916.

- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2008). Ex-Dividend Day Behaviour in the Absence of Taxes and Price Discreteness, *International Review of Finance* 8 (3-4), pp. 103-123.

Chapter in a book:

- **Al-Yahyaee, K.** (2008). “Financial Policy Issues”, in *Oman County Profile: The Road Ahead for Oman*, Economic Research Forum, pp. 49-74.

Manuscripts in preparation:

- **Al-Yahyaee, K.** (2012). Stock Dividends and Market Microstructure.
- **Al-Yahyaee, K.** (2012). The Information Content of Stock Dividend Announcements.
- **Al-Yahyaee, K.** (2012). Determinants of Capital Structure.
- **Al-Yahyaee, K.** (2012). The Effect of Ex-Date Stock Price Adjustment on Stock Dividends in a Unique Environment.
- **Al-Yahyaee, K.** (2012). The Effect of Taxes on Capital Structure.
- **Al-Yahyaee, K.** (2012). The Initial Performance of IPOs: Evidence from the Muscat Securities Market.

Conference proceedings:

- **Al-Yahyaee, K.** (2012). Microstructure and Ex-Dividend Day Pricing Anomaly: Evidence from a Unique Environment", *25<sup>th</sup> Annual Australasian Finance and Banking Conference*, Sydney, Australia.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2012). Corporate Leverage and Stock Prices", *World Business Research Conference*, Bangkok, Thailand.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2011). “Dividend Smoothing When Firms Distribute Most of Their Earnings as Dividends”, *The 18<sup>th</sup> Annual Global Finance Conference*, Bangkok, Thailand.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2010). “Does

Tick Size Affect –Ex-Dividend Day Stock Price Behavior? Evidence from A Country without Taxes”, *23<sup>rd</sup> Annual Australasian Finance and Banking Conference*, Sydney, Australia.

- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2010). “Dividend Stability When Firms Distribute All Profits in Dividends”, *1<sup>st</sup> World Finance Conference*, Viana do Castelo, Portugal.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2009). “The information Content of Cash Dividend Announcements in a Unique Environment”, *Quantitative Methods in Finance Conference*, Sydney, Australia.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2009). “The information Content of Cash Dividend Announcements in a Unique Environment”, *Annual Australasian Finance and Banking Conference*, Sydney, Australia.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2008). "Dividend Policy in the Absence of Taxes", *European Economics and Finance Society Conference*, Prague, Czech Republic.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2008). "Ex-Dividend Day Behaviour in the Absence of Taxes and Price Discreteness", *2<sup>nd</sup> International Conference on Accounting and Finance*, Thessaloniki, Greece.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2008). "What are the Determinants of Capital Structure Dynamics? Evidence from a Country with Unique Financing Arrangements", *9<sup>th</sup> International Business Research Conference*, Australia.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2007). "Dividend Policy in the Absence of Taxes", *The 15<sup>th</sup> Annual Conference on Pacific Basin Finance, Economics, Accounting, and Management*, Ho Chi Minh City.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2007). "Ex-Dividend Day Behaviour in the Absence of Taxes and Price Discreteness", *European Financial Management Association*, Vienna, Austria.
- **Al-Yahyaee, K.**, Pham, T., and Walter, T. (2007). "Ex-Dividend Day Behaviour in the Absence of Taxes and Price Discreteness," *2007 China International Conference in Finance*, Chengdu, China.

- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2006). "Dividend Policy in the Absence of Taxes", *19<sup>th</sup> Annual Australasian Finance and Banking Conference*, Sydney, Australia.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2006). "Ex-Dividend Day Behaviour in the Absence of Taxes and Price Discreteness", *Asian FA/FMA Conference*, Auckland, New Zealand.
- **Al-Yahyaee, K.,** Pham, T., and Walter, T. (2005). "What are the Determinants of Capital Structure Dynamics? Evidence from a Country with Unique Financing Arrangements", *PhD Conference in Economics and Business*, Perth, Australia.

*Discussed papers in conferences:*

I acted as a discussant for many papers in well reputed conferences as follows:

- The Informational Role of Acquirer Dividend Policy in Corporate Takeovers (2012). *25<sup>th</sup> Annual Australasian Finance and Banking Conference*, Sydney, Australia.
- Regulating the Amount of Short-Term Debt (2011). *24<sup>th</sup> Annual Australasian Finance and Banking Conference*, Sydney, Australia.
- Investor Tax Heterogeneity, Ex-Dividend Prices, Volumes, and Trades: Evidence from an Emerging Market (2011), *23<sup>rd</sup> Annual Australasian Finance and Banking Conference*, Sydney, Australia.
- Determinants of the Initial Listing Decision: The Case of Finance Companies in Thailand (2011). *18<sup>th</sup> Annual Global Finance Conference*, Bangkok, Thailand.

*Media appearances and interviews:*

- "The Role of Financial Sector in Oman: Opportunities and Challenges", *Oman TV*, live interview, 14 November 2012.
- "Muscat Securities Market: The Role of Competition in Enhancing Knowledge about the Investment Culture in Oman", *Alwatan Newspaper*, June 2008.